

#### JC14 Rec'd PCT/PTO U 7 JAN 2002

RECEIPT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 09/857,241

)
Filing Date: June 1, 2001

For: CONDUCTIVE MATERIALS

Art Unit: 1772

Applicant(s): Patrick James O'Grady and John Buckley

Atty. Docket No.: 198/39458/443

#### REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination Customer Service Center Assistant Commissioner For Patents Washington, D.C. 20231 FEB 1 1 2002 TC 1700

Dear Sir:

Enclosed is a copy of the Filing Receipt received in the above-identified application.

This Filing Receipt is in error in that one of the inventor's names, **John Buckley**, has not been included. The Applicants should include both Patrick James O'Grady **and** John Buckley and read as follows:

Patrick James O'Grady, Doncaster, United Kingdom; and,

John Buckley, Rochdale, United Kingdom.

A copy of the Filing Receipt having the correct data added thereon in red is enclosed.

Also enclosed are copies of the following:

- A.) A Notification of the Addition of John Buckley, an inventor in International Application No. PCT/GB99/04087, filed December 3, 1999;
- B.) The Transmittal Letter which accompanied this Application identifying both Patrick James O'Grady and John Buckley as inventors; and
- C.) The Declaration of Power and Attorney signed by and identifying both Patrick James O'Grady and John Buckley as joint inventors.

Accordingly, we respectfully request that the Office issue a corrected Filing Receipt which identifies the inventors as Patrick James O'Grady and John Buckley.

It is believed that no fee is required, however, if the Commissioner believes otherwise He is hereby authorized to charge any additional fees which may be required by this paper to Deposit Account No. 20-1495.

Respectfully submitted,

Date: October 23, 2001 By:

Richard A. Giangiorgi, Reg. No. 24,284 James R. Foley, Reg. No. 39,979 Attorneys for Applicant TREXLER, BUSHNELL, GIANGIORGI, BLACKSTONE & MARR, LTD.

105 West Adams Street, 36th Floor Chicago, Illinois 60603-6299

Tel: (312) 704-1890

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspta.gov

APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO **DRAWINGS** TOT CLAIMS IND CLAIMS HULSE 09/857.241 06/01/2001 1772 691 CASE 443-5 32 2 PCT

**CONFIRMATION NO. 9729** 

Date Mailed: 10/16/2001

C TABLE

Trexler Bushnell Giangiorgi & Blackstone 105 West Adams Street Chicago, IL 60603

OCT 2 2 2001

**FILING RECEIPT** 

TREXLER, BUSHNELL, GIANGIORGI, BLACKSTONE & MARR, LTD.

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER. FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the

changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Patrick James O'Grady, Doncaster, UNITED KINGDOM; John Buckley, Rochdale, UNITED KINGDOM
Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/GB99/04087 12/03/1999

Foreign Applications

UNITED KINGDOM 9826596.0 12/04/1998

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

**Title** 

Conductive materials

428

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).



JAN 0 1 2002 WELL

**PCT** 

## OTIFICATION OF THE RECORDING OF A CHANGE

(PCT Rule 92bis.1 and Administrative Instructions, Section 422)

From the INTERNATIONAL BUREAU

To:

HOUGHTON, David Hulse & Co. St. James House 8th floor Vicar Lane Sheffield S1 2EX RECEIVED HULSE & Co

1 3 JUN 2001

cknowledge

Date of mailing (day/month/year) 05 June 2001 (05.06.01)	ROYAUME-UNI		
Applicant's or agent's file reference DH/LH/G5357	IMPORTANT NOTIFICATION		
International application No. PCT/GB99/04087	International filing date (day/mo 03 December 1999 (03		
The following indications appeared on record concerning      X the applicant      X the inventor  Name and Address	the agent the co	ommon representative	
	State of Nationality GB Telephone No.	State of Residence GB	
2. The International Bureau hereby notifies the applicant that	Facsimile No.  Teleprinter No.		
2. The International Bureau hereby notifies the applicant that the person the name the action that	the following change has been record	ded concerning:	
Name and Address  BUCKLEY, John Flat 5 171 Drake Street Rochdale OL11 1EF	State of Nationality GB Telephone No.	State of Residence GB	
United Kingdom	Facsimile No.  Teleprinter No.		
3. Further observations, if necessary: Additional applicant/inventor for the purposes o	of the United States of America	only.	
A copy of this notification has been sent to:			
the International Searching Authority	the designated Office		
the International Preliminary Examining Authority	other:		
The International D.	Authorized officer	1	

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35 Authorized officer

Dominique D

Telephone No.: (41-22) 338 83 38

DIMAS

TO THE UNITED STATES

ALLURNEY'S DOCKET NUMBER Hulse Case 443-PCT (198/39458)

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PEGT/G	B99/04087	03 DE	C 1999	04 DEC 1998			
TITLE O	FINVENTION CC	NDUCTIVE MATERIALS					
APPLICA	ANT(S) FOR DO/EO/U	s Patrick James O'GRAD	Y and John BU	CKLEY (Added re PCT Rule 92 bis)			
Applicant	t herewith submits to th	e United States Designated/Elec	ted Office (DO/EO/L	JS) the following items and other information:			
1. 🛛	This is a FIRST	submission of items conce	erning a filing ur	der 35 U.S.C. 371.			
2. 🗆	This is a SECO	ND or SUBSEQUENT sub	mission of items	concerning a filing under 35 U.S.C. 371.			
3. 🗆	This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l).						
4. 🛛	A proper Demar date.	d for International Prelimir	tional Preliminary Examination was made by the 19th month from the earliest-claimed priority				
5.	A copy of the Int	ernational Application as f	ed (35 U.S.C. 371(c)(2))  not transmitted by the International Bureau).  al Bureau.  iled in the United States Receiving Office (RO/US).  into English (35 U.S.C. 371(c)(2)).				
a.	is transmitted herewith (required only if not transmitted by the International Bureau).						
b.	has been tra	ansmitted by the Internatio	nal Bureau.				
c.	☐ is not requir	ed, as the application was	filed in the Unit	ed States Receiving Office (RO/US).			
6. 🗆	A translation of t	he International Applicatio	n into English (3	35 U.S.C. 371(c)(2)).			
7. 🛛	Amendments to the specification and claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
a.	are transmit	ted herewith in the Interna	tional Applicatio	n (required only if not transmitted by the International Bureau).			
b.							
c.	☐ have not be	en made; however, the tim	e limit for makir	g such amendments has NOT expired.			
d.	☐ have not be	en made and will not be m	ade.				
8. 🗆	A translation of t	ne amendments to the clai	ms under PCT	Article 19 (35 U.S.C. 371(c)(3)).			
9. 🛛	An oath or decla	ration of the inventor(s) (3	5 U.S.C. 371(c)	(4)). (EXECUTED)			
10. 🗆	A translation of the 371(c)(5)).	ne annexes to the Internat	ional Preliminar	y Examination Report under PCT Article 36 (35 U.S.C.			
tems 1	1. to 16. below co	oncern document(s) or in	formation incl	uded:			
		isclosure Statement under					
12. 🗆	An assignment d	ocument for recording. A	separate cover	sheet in compliance with 37 CFR 3.28 and 3.31 is included.			
13. 🗆	A FIRST prelimin	ary amendment.					
	A SECOND or SI	JBSEQUENT preliminary :	amendment.	Codification of the Vision by Transport			
4. 🗆	A substitute spec			Certificate of Mailing by "Express Mail"  Mailing Label NumberEL557802215US			
<u> </u>		er of attorney and/or addre	es letter	Date of Deposit: June 1, 2001  I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office Box Addressee" service under 35 CFR			
6. 🛛	Other items or inf		.00 101101	1.10 on the date indicated above and is addressed to the Ass. Commissioner for Patents, Washington, D.C. 20231.			
a.⊠	_	liminary Exam. Report		NANCY VAN ZEYL (TYPED OR PRINTED NAME OF PERSON MAILING PAPER OR FEE)			
b.⊠	_	ent (Declaration) Claiming	Small	(SIGNATURE OF PERSON MAILING PAPER OR FEE)			
c.⊠	International Sea	arch Report		EL557802215US			

d. The Examiner is requested to examine the application, as amended, as published with the International Preliminary Examination Report

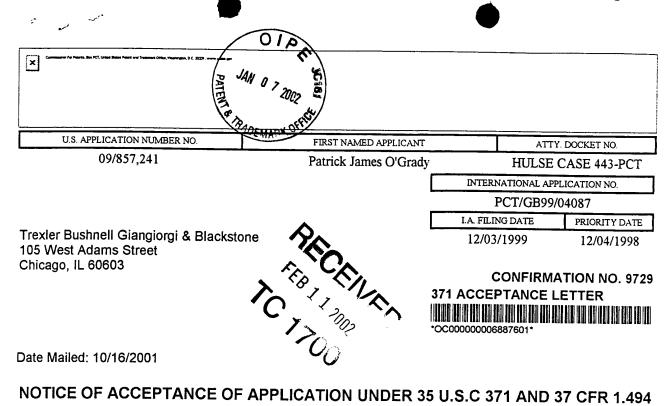
As a below named inventor, I hereby declare that:



• My residence, post office address and citizenship are as stated below next to my name.

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acknowledge the duty to disclose infor	rmation which is material to	o patentability as defined in Title 37. Gode of	Federai Regulatio	ns. \$1.36		
hereby claim foreign ononty benefits un sentified below any foreign application	nder Title 35. United States i i for patent or inventor is ce	Code, \$1(9(a)=d) of any foreign applications artificate having a filling date before that of the	) for patent of inve application on wr	entor's certificate nich priority s cia	listed below imed.	and have
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	Patent and Trademark Office A. Giangiorgi, Reg. 24,284; Ra 977; Linda L. Palomar, Reg. No. TREXLER, BUSHNELL, GIAN 105 W. ADAMS STREET, CH. (312) 704-1890 RICHA e herein of my own knowledge ther that these statements were ine or imprisonment, or both, unday jeopardize the validity of the synthesis of the validity of the reet, Rochdale, OL11 1E ve	A. Giangiorgi, Reg. 24,284; Raiford A. Blackstone, Jr., Reg. 277; Linda L. Palomar, Reg. No. 37,903; and James R. Fole TREXLER, BUSHNELL, GIANGIORGI & BLACKSTONE, 105 W. ADAMS STREET, CHICAGO, IL 60603  (312) 704-1890 RICHARD A. GIANGIORGI (REG. No. 105 May 105



### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated Office (37 CFR 1.494), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

06/01/2001

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS

06/01/2001

DATE OF RECEIPT OF ALL 35 U.S.C. REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Indication of Small Entity Status
- Copy of IPE Report
- Copy of references cited in ISR
- · Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Small Entity Statement

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

MAMIE P PERSON Telephone: (703) 305-3737

PART 1 - ATTORNEY/APPLICANT COPY